

# Formal Analysis for Communicating Medical Devices

Mike Whalen  
Program Director, UMSEC  
University of Minnesota

## Research Topics

M.Whalen, A. Gacek, and D. Cofer.  
**Hierarchical Circular Compositional Reasoning.** UMSEC Tech Report 2012-1

- Multi-Domain Analysis of System Architecture Models
  - Compositional Assume-Guarantee Reasoning
  - Next: Incorporating different notions of time

D. Cofer, A. Gacek, S. Miller, M. Whalen, and B. LaValley.  
**Compositional Verification of Architectural Models.** *NFM 2012*

W. Visser, M. Dwyer, and M. Whalen, **The Hidden Models of Model Checking.** *Journal of Software and Systems Modeling*, [Submitted – Under Review]

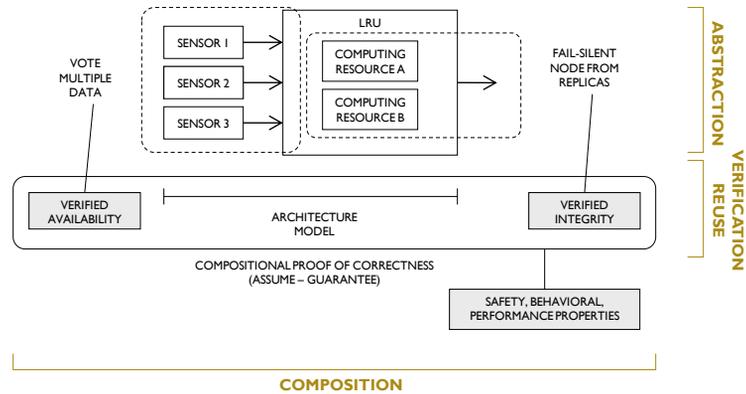
- Analysis of Component-Level MBD Models
  - Simulink/Stateflow
  - Rhapsody
- Automated Analysis of Datatype-Manipulating Programs
  - Automated proofs of (arbitrarily large) data structures.
  - Based on extension of Kuncak & Suter POPLI I algorithm

T. Kahsai, P.L. Garoche, C. Tinelli, and M. Whalen.  
**Incremental Verification with Mode Machine Invariants in State Machines.** *NFM 2012*

D. Hardin, K. Slind, M. Whalen, and T.H. Pham. **The Guardol Language and Verification System,** *TACAS 2012*

# Vision

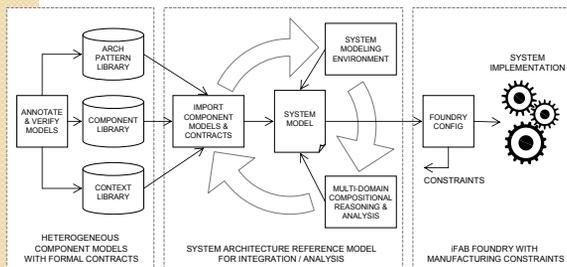
## System design & verification through pattern application and compositional reasoning



© Copyright 2011 Rockwell Collins, Inc. All rights reserved.

# Multi-domain modeling & analysis

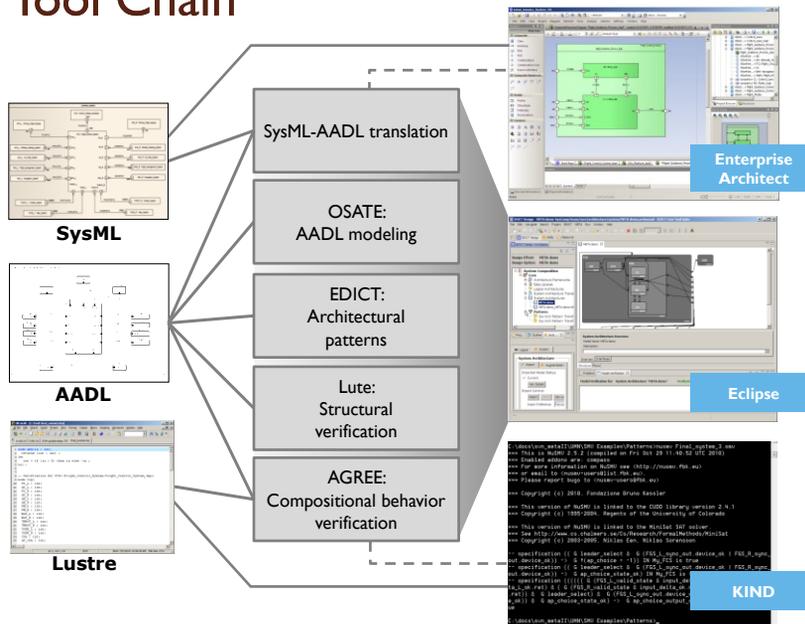
- Architecture model captures properties, relationships, contracts between and within domains
- Supports system-level analysis



- Behavioral (Subset of) PSL properties
- Structural/Static Model constraints
- Probabilistic Fault modeling
- Resource Allocation Schedule, memory, bandwidth
- Manufacturability Resources, processes

© Copyright 2011 Rockwell Collins, Inc. All rights reserved.

## Tool Chain



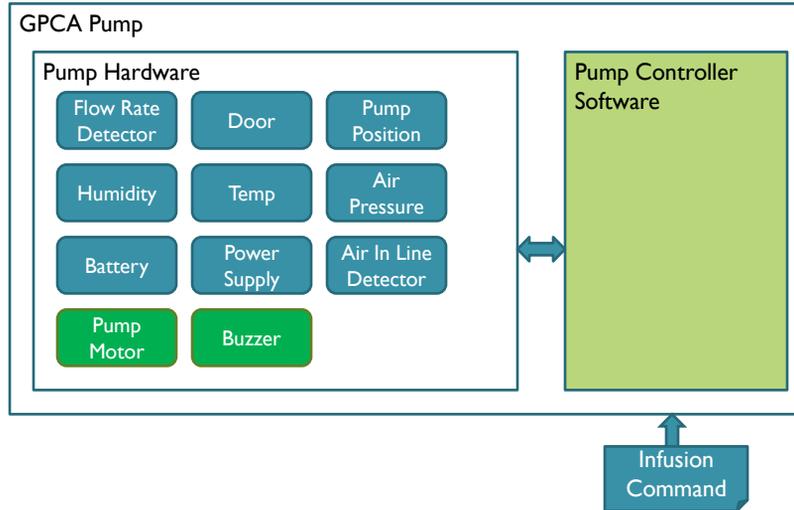
5

## GPCA Pump Example

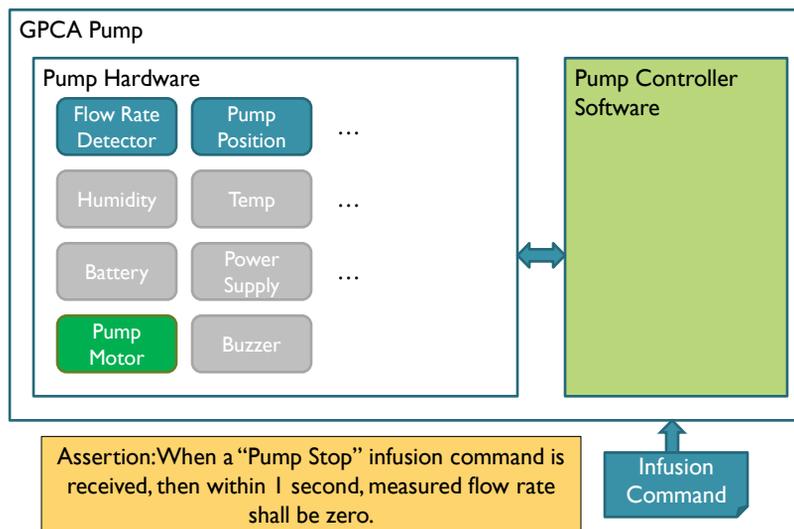
- Property of Interest:
  - If a “Pump Stop” command is received, then within 1 second, measured flow rate shall be zero.
- We will prove this property compositionally based on the architecture of the Pump subsystem.

With  
exciting verification  
demo!

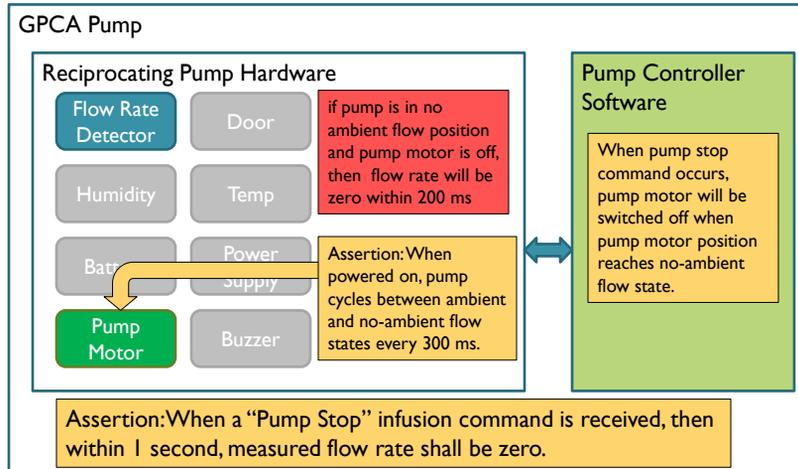
## Architecture of GPCA Pump



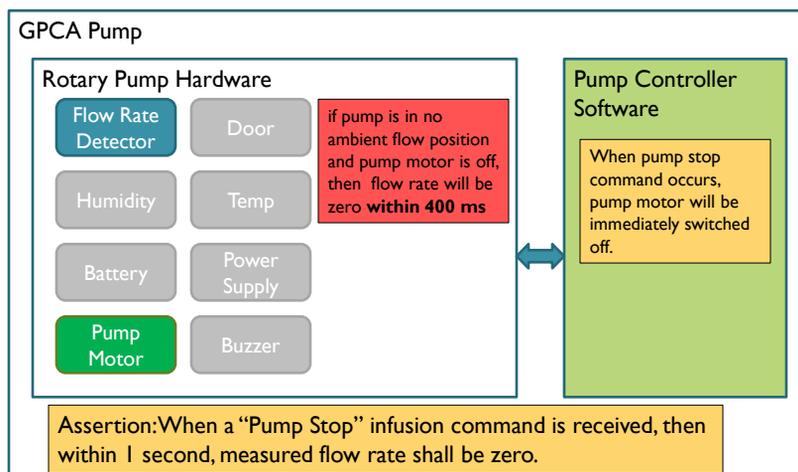
## Proof of GPCA Pump



## Proof of Reciprocating Pump



## Rotary Pump





## DEMO



## Underlying Formalism: Circular Compositional Reasoning

- Suppose we have
  - Sets of formulas  $\Gamma$  and  $Q$
  - A well-founded order  $\prec$  on  $Q$
  - Sets  $\Theta_q \subseteq \Delta_q \subseteq Q$ , such that  $r \in \Theta_q$  implies  $r \prec q$
- Then if for all  $q \in Q$ 
  - $\Gamma \Rightarrow G((Z(H(\Theta_q)) \wedge \Delta_q) \Rightarrow q)$
- Then:
  - $G(q)$  for all  $q \in Q$
- [Adapted from McMillan]

## Formulation applied to Hierarchical Reasoning

- So given component contracts:  
 $\Gamma = \{ G(H(A_c) \Rightarrow P_c) \mid c \in C \}$
- ...we add a set of obligations that tie the system assumption to the component assumptions

$$Q = \boxed{\phantom{\text{system assumption}}} \cup \{H(A_s) \Longrightarrow A_c \mid c \in C\}$$

- We can prove  $G(q)$  for all elements of  $Q$
- ...which means we prove our system property

## Problem: Liveness

- Obligations are of the form:  
 $\boxed{\phantom{\text{system assumption}}} \Rightarrow G((Z(H(\Theta_q)) \wedge \Delta_q) \Rightarrow q)$   
 where  $\Gamma = \{ G(H(A_c) \Rightarrow P_c) \mid c \in C \}$
- Unfortunately, having  $G$  operator on the left-hand of an implication means that this is a liveness formula.
  - We want to use provers that only support safety
- We want to reflect the component guarantees directly into the  $G$  operator on the right.

## Safety Formulation

- We define  $c^\wedge$  as  $(A_c \wedge P_c)$  for component  $c$ .
  - ...and define  $C^\wedge$  as  $\{c^\wedge \mid c \in C\}$
  - ...and define  $\Delta_c$  and  $\Theta_c$  to match  $\Delta_c$  and  $\Theta_c$ .
- Then we can define obligations that involve only past-time temporal operators:

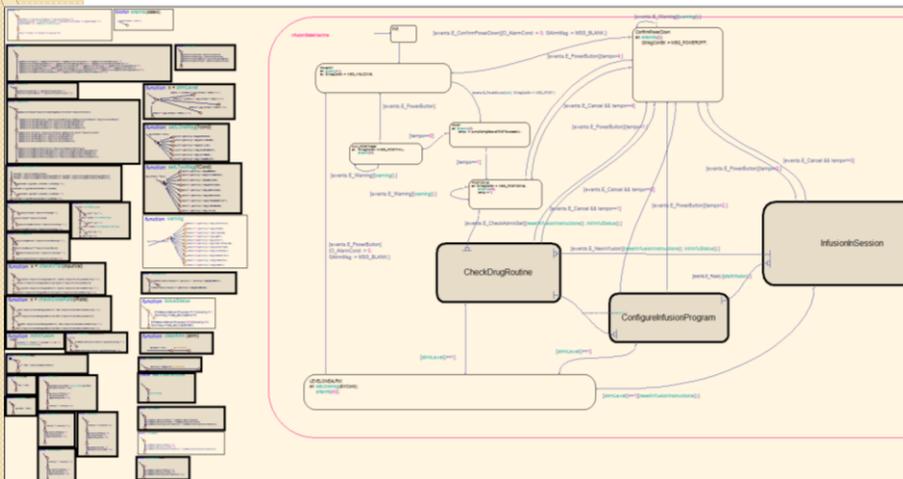
$$((\forall c \in C : (\sigma \vdash G((H(A_s) \wedge Z(H(\Delta_c)) \wedge \Theta_c) \implies A_c))) \wedge (\sigma \vdash (G((H(A_s) \wedge H(C^\wedge)) \implies P_s))))$$

- ...to establish  $(G(H(A_s)) \implies P_s)$

M. Whalen, A. Gacek, and D. Cofer. **Hierarchical Circular Compositional Reasoning**. UMSEC Tech Report 2012-1

D. Cofer, A. Gacek, S. Miller, M. Whalen, and B. LaValley. **Compositional Verification of Architectural Models**. *NFM 2012*

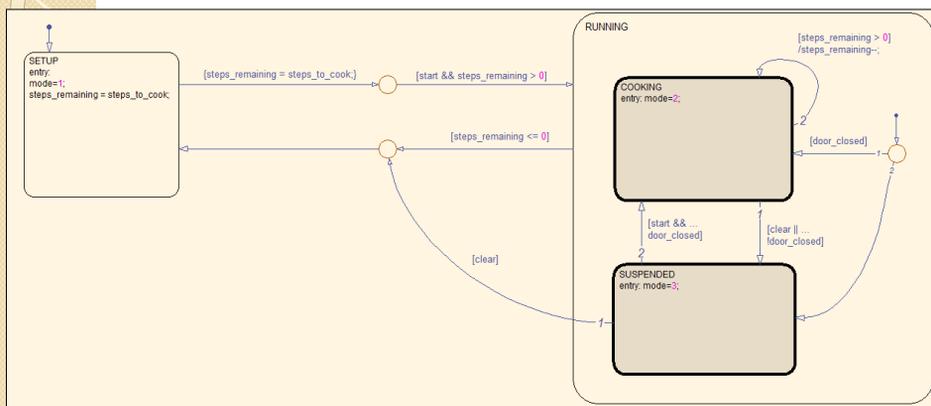
## Component-Level Analysis



## Making k-induction model checkers mode aware

- K-induction is a model checking technique that can be used with SMT solvers
  - **Very scalable** if properties can be **inductively proven**
  - Unfortunately, Inductive proofs **often fail** because properties are **too weak**
  - Lots of work on lemma/invariant discovery to **strengthen** properties
    - Bjesse and Claessen: *SAT-based verification without State Space Traversal*
    - Bradley: *SAT-based Model Checking without Unrolling*
    - Tinelli: *Instantiation-Based Invariant Discovery*
  - However, these techniques do not work for state machines / modes
- Created new lemma discovery technique for modes and implemented it in Kind model checker
  - Discover cliques of integer or enumerated model variables
    - Use abstract interpretation to discover small subrange integer modes
  - Posit relationships between mode variables and inductively verify.
  - Initial results are very positive [NFM 2012]

## Simple Example of Induction Failure: Microwave Mode Logic



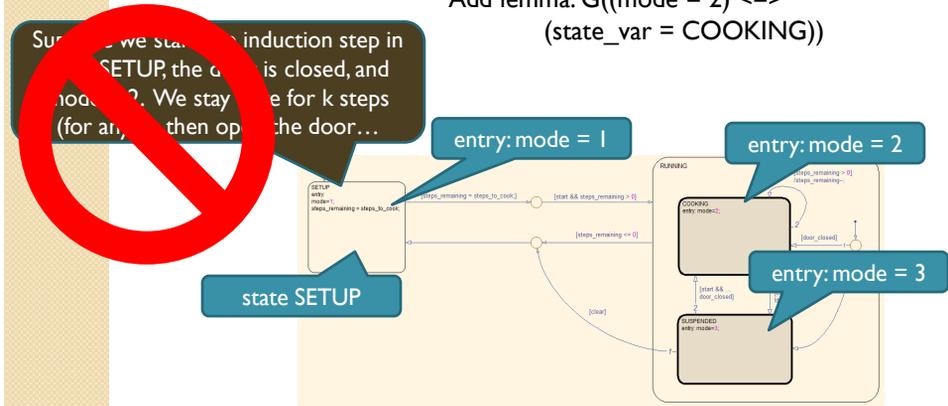
- Want to prove if we're cooking then the door is closed  
 $G((mode = 2) \Rightarrow door\_closed);$

## Making it inductive

- Induction step: Start from **arbitrary state** in which property holds:  $G((mode = 2) \Rightarrow door\_closed)$ ;
- Note that the mode variable does not directly affect the value of the state machine.
- Need to relate entry concrete value to state machine value.

Add lemma:  $G((mode = 2) \Leftrightarrow (state\_var = COOKING))$

Suppose we start in an induction step in state SETUP, the door is closed, and mode = 2. We stay in state for k steps (for any k), then open the door...



## GPCA Pump Simulink/Stateflow Model

- Simulink/Stateflow GPCA pump controller
  - Generic Patient-Controlled Analgesia
    - Infusion pump with input from the patient
  - Reference model for model-based development for medical devices
- Analysis through test-case generation (Reactis)
- Analysis through model checking
  - Kind and SAL using RCI/UMN Gryphon tool set



## Conclusion

- **Mature Simulink/Stateflow analysis capability**
    - *Gryphon* tool suite and Kind model checker
    - Ongoing high-visibility projects at Rockwell Collins using model checking (CAS: Crew Alerting System)
    - Recent capabilities in Kind make it significantly stronger tool
    - Using this to analyze large GPCA models
  - **New AGREE system architecture analysis capability**
    - Support models in AADL and SysML
    - Tools built in Eclipse – Freely available
    - Translates to Kind and will eventually target more: (NuSMV, PVS)
  - **Combining results from several funding streams**
    - Kind invariant work co-sponsored by AFOSR
    - AGREE work co-sponsored by DARPA (META-II program)
  - **Creating substantial reasoning capabilities for tools that engineers use!**
-